

Partially balanced incomplete block designs having three associate classes by using symmetric differences

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Abstract

A new series of Partially Balanced Incomplete Block (PBIB) designs having three associate classes along with their association scheme are introduced in this paper. New series is constructed by using method of differences with introducing initial blocks using different classes of a treatment. PBIB designs of new series are constructed in such a way that there is no fixation apply on v , b , r , k with one particular restriction on s ' ($s \geq 4$). Three kinds of existing efficiencies and the average efficiency factor (A.E.F) of the newly constructed PBIB designs to compare with already existing designs, illustration for a particular parametric combination for practical understanding and conclusion of research work are also discussed in this paper.

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1. Introduction

A new series of higher associate class Partially Balanced Incomplete Block (PBIB) designs along with their association scheme are presented in this paper. New series is constructed by using method of differences and by introducing initial blocks using different classes of a treatment. Similar type of work available in literature is due to Bose et al (1953) in which they introduced the method of differences in the construction of two associate class PBIB designs. Stanton and Sprott (1958) introduced a cyclic difference set defined as a set of k distinct residues $d_1, d_2, d_3, \dots, d_k$ (module v) with the property that all non-zero residues modulo v occurs exactly λ times among the difference $d_i = d_j$ ($i \neq j$). Raghavarao and Aggarwal (1974) introduced rectangular designs with parameters $v = mn$, $b = tm$, r, k , $n_1 = m-1$, $n_2 = m-1$, $n_3 = (n-1)(m-1)$ with $\lambda_1, \lambda_2, \lambda_3$ by developing tm blocks from t – initial blocks, each contain k treatments by considering $tk(k-1)$ differences. The method of differences used to construct rectangular designs by Nair (1980) and Aggarwal (1983). Similarly, Yi – Suen (1989) also constructed rectangular designs with parameters $v = (st+1)s$, $b = s(st+1)$, $r = st = k$, $n_1 = s-1$, $n_2 = st$, $n_3 = (s-1)st$, $\lambda_1 = 0, \lambda_2 = t-1$, $\lambda_3 = t$ by using s – initial blocks.

Recently, some more efficient designs have been constructed by using some other methods of construction, for instance, Sinha et al ((2002b), Kageyama and Sinha (2003), Garg (2010), Garg et al (2012, 2014) and Kaur and Garg(2016) contributed PBIB designs in which there is no restriction to the number of replications of treatments.

In this way, we are able to construct a new series of three associate class PBIB designs for $v \leq 20$ and $k \leq 10$. In this series, three associate class PBIB designs with variable replicates for ($s \geq 4$) for suitable values of v, b, r, k, λ_i 's and n_i 's have been constructed. For complete description and understanding of method of differences, we refer to Raghavarao (1971) and Hinkelmann and Kempthorne (2005).

2. Series of three associate class PBIB designs

We introduced a series of three associate class PBIB designs by using method of symmetric repeated differences having parameters

$$v = 2s, b = 2(s + 1), r = s + 1, k = s, \lambda_1 = s - 1, \lambda_2 = 2, \lambda_3 = 0, n_1 = s - 1 = n_2 \text{ and } n_3 = 1 \text{ for } s \geq 4$$

The construction method of blocks, association scheme along with P – matrices of association scheme of the new series of PBIB designs are discussed in the following sections.

3. Construction methodology

In this design, all basic requirements depend merely upon one parameter say 's'. Consider module (under addition) 2 and for each element of mod(2), let there correspond to 's' ($s \geq 4$) elements. It means treatments can be 0 or 1 only and each treatment has 's' different classes. Consider the following set of blocks containing 's' elements each as initial blocks. Let these initial blocks be expressed as

$[0_1, 0_2, 0_3, \dots, 0_s]$ and $[0_i, 1_{i+1}, 1_{i+2}, \dots, 1_{i+j}]$ for $i = 1, 2, \dots, s$ under the condition that

$$i + j = \begin{cases} \text{sum of } i \text{ and } j & , \text{ if } i + j \leq s \\ \text{mod } (s) & , \text{ if } i + j > s \end{cases} \text{ , where } j \in \mathbb{N} \text{ with } j < s.$$

In this way, we have 's + 1' initial blocks and all the remaining 's+1' blocks are arising from these blocks by adding '1' to each element under mod(2).

Using the above procedure, we get a new series of three associate class PBIB designs with parameters $v = 2s, b = 2(s + 1), r = s + 1, k = s, t = s + 1$ (initial blocks), $n = s$ (classes) $\lambda_1 = s - 1, \lambda_2 = 2, \lambda_3 = 0$ with $n_1 = s - 1 = n_2$ and $n_3 = 1$ for $s \geq 4$ following an association scheme as given below.

4. Association scheme

The total number of blocks in the above constructed design are $2(s+1)$ and every treatment repeats itself 's+1' times. In these blocks zero mixed differences and mixed differences symmetrically repeated. Let us take a particular treatment say 'θ'.

Treatment pairs of type (θ, θ_i) occurs 's-1' times in these blocks, where $i = 1, 2, \dots, s-1$ are 1st associate of 'θ' and $n_1 = s-1$. Treatment pairs of type (θ, θ_j) occurs exactly two times in these blocks, where $j = 1, 2, \dots, s-1$ are 2nd associate of 'θ' and $n_2 = s - 1$.

One remaining treatment say 'φ' which does not occur with 'θ' in any block or in other words treatment pair type (θ, ϕ) does not occur in these blocks are 3rd associates of 'θ' and $n_3 = 1$.

5. P – matrices

$$P_1 = \begin{bmatrix} s - 2 & 0 & 0 \\ 0 & s - 2 & 1 \\ 0 & 1 & 0 \end{bmatrix}$$

$$P_2 = \begin{bmatrix} 0 & s-2 & 1 \\ s-2 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix}$$

$$P_3 = \begin{bmatrix} 0 & s-1 & 0 \\ s-1 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

6. Illustration of construction

For $s=6$, it is clear that $v = 12$, $b = 14$, $r = 7$, $k = 6$, $t = 7$ (initial blocks), $n = 6$ (classes) under $\text{mod}(2)$ represent two treatments and each treatment has six different classes. Let treatments be 0 and 1 and let six different classes for each treatment be 1,2,3,4,5,6 with seven initial blocks for $i = 1, 2, 3, 4, 5$ and 6 under the condition defined in section - 3 are

$$[0_1, 0_2, 0_3, 0_4, 0_5, 0_6] \quad [0_1, 1_2, 1_3, 1_4, 1_5, 1_6] \quad [0_2, 1_3, 1_4, 1_5, 1_6, 1_1] \quad [0_3, 1_4, 1_5, 1_6, 1_1, 1_2]$$

$$[0_4, 1_5, 1_6, 1_1, 1_2, 1_3] \quad [0_5, 1_6, 1_1, 1_2, 1_3, 1_4] \quad [0_6, 1_1, 1_2, 1_3, 1_4, 1_5]$$

For more clarification, we represent the treatments as

$0_1 \ 0_2 \ 0_3 \ 0_4 \ 0_5 \ 0_6$	$1_1 \ 1_2 \ 1_3 \ 1_4 \ 1_5 \ 1_6$
$1 \ 2 \ 3 \ 4 \ 5 \ 6$	$7 \ 8 \ 9 \ 10 \ 11 \ 12$

By using the above initial blocks, the developed blocks under module (2) are as

$$[0_1, 0_2, 0_3, 0_4, 0_5, 0_6] \quad [1_1, 1_2, 1_3, 1_4, 1_5, 1_6] \quad [0_1, 1_2, 1_3, 1_4, 1_5, 1_6] \quad [1_1, 0_2, 0_3, 0_4, 0_5, 0_6]$$

$$[0_2, 1_3, 1_4, 1_5, 1_6, 1_1] \quad [1_2, 0_3, 0_4, 0_5, 0_6, 0_1] \quad [0_3, 1_4, 1_5, 1_6, 1_1, 1_2] \quad [1_3, 0_4, 0_5, 0_6, 0_1, 0_2]$$

$$[0_4, 1_5, 1_6, 1_1, 1_2, 1_3] \quad [1_4, 0_5, 0_6, 0_1, 0_2, 0_3] \quad [0_5, 1_6, 1_1, 1_2, 1_3, 1_4] \quad [1_5, 0_6, 0_1, 0_2, 0_3, 0_4]$$

$$[0_6, 1_1, 1_2, 1_3, 1_4, 1_5] \quad [1_6, 0_1, 0_2, 0_3, 0_4, 0_5]$$

The complete solution in general form from the above initial and developed blocks is

$$\{1,2,3,4,5,6\} \quad \{7,8,9,10,11,12\} \quad \{1,8,9,10,11,12\} \quad \{7,2,3,4,5,6\}$$

$$\{2,9,10,11,12,7\} \quad \{8,3,4,5,6,1\} \quad \{3,10,11,12,7,8\} \quad \{9,4,5,6,1,2\}$$

$$\{4, 11, 12, 7, 8, 9\} \quad \{10,5,6,1,2,3\} \quad \{5,12,7,8,9,10\} \quad \{11,6,1,2,3,4\}$$

$$\{6,7,8,9,10,11\} \quad \{12,1,2,3,4,5\}$$

In this way, we get a three associate class PBIB design with parameter

$$v = 12, b = 14, r = 7, k = 6, \lambda_1 = 5, \lambda_2 = 2, \lambda_3 = 0, n_1 = 5 = n_2, n_3 = 1.$$

follows an association scheme given below.

Association scheme

Let us consider a particular treatment ' $\theta = 1$ '. Clearly treatment ' $\theta = 1$ ' occurs in seven blocks.

Treatment pairs of type (θ, θ_i) which are (1,2), (1,3), (1,4), (1,5), (1,6) occurs five times in blocks of PBIB design. Therefore, treatments 2,3,4,5 and 6 are 1st associate of '1' and $n_1 = 5$.

Treatment pairs of type (θ, θ_j) which are (1,8), (1,9), (1,10), (1,11), (1,12) occurs exactly two times in these blocks. So, treatments 8, 9, 10, 11 and 12 are 2nd associate of '1' and $n_2 = 5$.

Lastly, treatment '7' which does not occur with '1' in any block or in other words treatment pair (1,7) does not occur in these blocks are 3rd associates of '1' and $n_3 = 1$.

P – Matrices

The P - matrices of the design with parameters $v = 12, b = 14, r = 7, k = 6, t = 7, \lambda_1 = 5, \lambda_2 = 2, \lambda_3 = 0, n_1 = 5, n_2 = 5, n_3 = 1$ are

$$P_1 = \begin{bmatrix} 4 & 0 & 0 \\ 0 & 4 & 1 \\ 0 & 1 & 0 \end{bmatrix} P_2 = \begin{bmatrix} 0 & 4 & 1 \\ 4 & 0 & 0 \\ 1 & 0 & 0 \end{bmatrix} P_3 = \begin{bmatrix} 0 & 5 & 0 \\ 5 & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix}$$

Table : List of three associate class PBIB designs

s	v	b	r	k	λ_1	λ_2	λ_3	n_1	n_2	n_3	E_1	E_2	E_3	E
4	8	10	5	4	3	2	0	3	3	1	.888	.813	.738	.831
5	10	12	6	5	4	2	0	4	4	1	.928	.818	.770	.857
6	12	14	7	6	5	2	0	5	5	1	.950	.820	.786	.871
7	14	16	8	7	6	2	0	6	6	1	.962	.821	.796	.878
8	16	18	9	8	7	2	0	7	7	1	.971	.820	.801	.883
9	18	20	10	9	8	2	0	8	8	1	.977	.820	.804	.886
10	20	22	11	10	9	2	0	9	9	1	.981.	.819	.806	.888

Discussion

In this paper, we develop a new series of PBIB designs by using method of differences and expressing blocks in general form to get three associate class PBIB designs for a particular parametric combination without any restriction on parameters of PBIB designs. We have kept fixed mod (2) and vary the classes of treatments only, the efficiency factors of first and third kinds as well as A.E.F of PBIB design of series monotonically increases which is a significant result of our research work.

Seven asymmetrical PBIB designs are listed for ($v \leq 20$ and $r \leq 11$) with an appropriate level of all kinds of efficiencies factors and the average efficiency factor. Therefore, newly constructed designs are quite efficient from practical point of view and are also useful in particular parametric combinations needed due to their better efficiencies as compare to already existing two associate PBIB designs with respective to the values of v, b, r and k and some higher associate class PBIB designs.

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